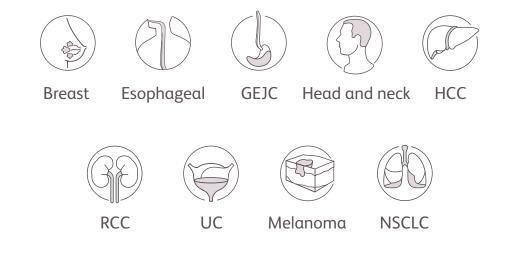
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With a deep scientific understanding of Immuno-Oncology, we have expanded our research to focus on leveraging immune checkpoint pathways in hopes of potentially improving outcomes for patients with earlier stages of cancer.<sup>40-49</sup>

Active research spans multiple tumors, including some tumors that may have risk of recurrence following complete surgical resection<sup>40-48</sup>



BMS is committed to exploring the potential of Immuno-Oncology in Earlier Stages of Cancer

GEJC=gastroesophageal junction cancer; HCC=hepatocellular carcinoma; I-O=Immuno-Oncology; MDT=multidisciplinary team; NSCLC=non-small cell lung cancer; RCC=renal cell carcinoma; UC=urothelial cancer.

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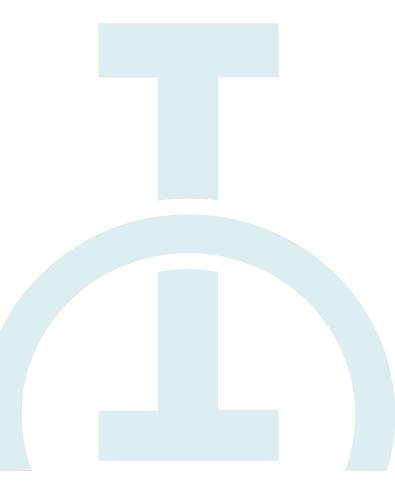




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Immuno-Oncology

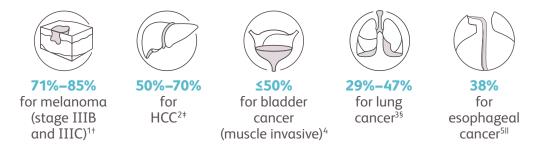
## Investigating the Potential of Immuno-Oncology in the Earlier Stages of Cancer



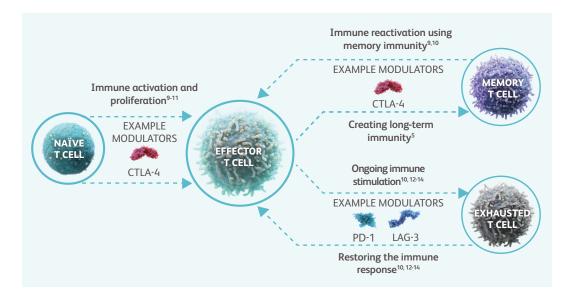
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## Immuno-Oncology research aims to harness the body's natural immune response to detect and eliminate tumor cells

• In earlier stages\* of many cancer types, the **risk of recurrence** following complete surgical resection may be high<sup>1-5</sup>



• Ongoing research to further understand immune pathways includes exploring how their modulation may improve antitumor immune response<sup>6-8</sup>



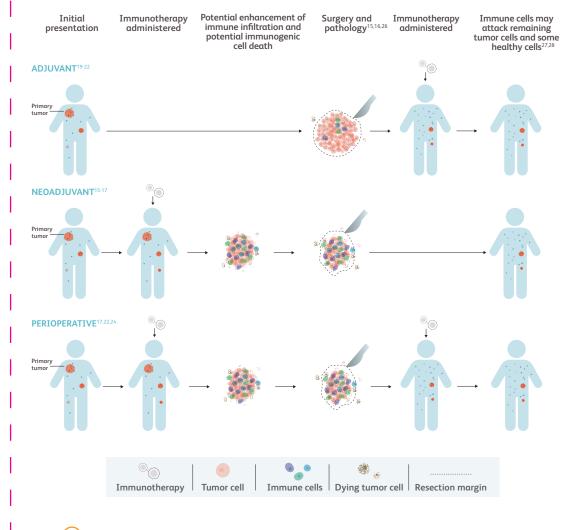
The generation of memory T cells may provide a prolonged immune response

\*Earlier stages of cancer refers to tumors that are non-metastatic or resectable metastatic. <sup>†</sup>Data from a single center spanning 1998–2002. <sup>†</sup>Based on worldwide data, according to the ESMO Faculty for Clinical Practice Guidelines development. <sup>§</sup>Data from a single center spanning 1999–2008. <sup>©</sup>Data from a single center spanning 1996–2010.

CTLA-4=cytotoxic T-lymphocyte antigen 4; HCC=hepatocellular carcinoma; I-O=Immune-Oncology; LAG-3=lymphocyte-activation gene 3; MDT=multidisciplinary team; PD-1=programmed death receptor-1.

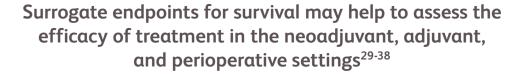
## Adjuvant, neoadjuvant, and perioperative immunotherapy research seeks to activate the body's natural immune response<sup>15-24</sup>

• Research is ongoing as to whether combining immunotherapy with surgery may lead to lower rates of tumor recurrence in earlier stages of cancer<sup>19,20,24,25</sup>

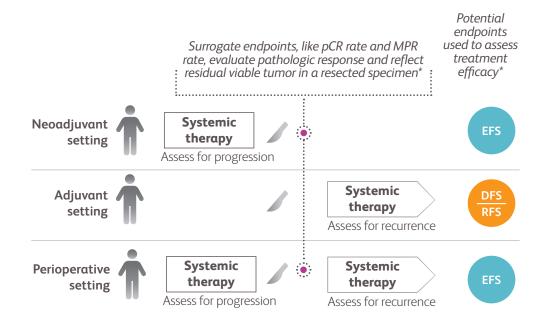


In earlier stages of cancer, the immune system may be more intact and responsive<sup>25</sup>; therefore, BMS is currently researching the potential utility of immunotherapy in this space.

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• While OS is a common endpoint in oncology, it requires a longer follow-up period. Surrogate endpoints may potentially correlate with OS.



According to research in the neoadjuvant setting, **pCR rate** and **MPR rate** may be emerging surrogate endpoints that evaluate residual tumor in a specimen<sup>2,4,5</sup>

Represents the time from when a patient is randomized (before systemic treatment and surgery) until any event, including progression of disease that precludes surgery, recurrence, or death irrespective of cause.<sup>29,32</sup> Represents the length of time after primary treatment ends that the patient survives without any signs or symptoms of the cancer for which they were treated.<sup>39</sup>

DFS=disease-free survival; EFS=event-free survival; I-O=Immuno-Oncology; MDT=multidisciplinary team; MPR=major pathologic response; OS=overall survival; pCR=pathologic complete response; RFS=recurrence-free survival.